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# A core effector UV\_1261 promotes *Ustilagoidea virens* infection via spatiotemporally suppressing plant defense

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## Abstract

False smut is a destructive grain disease of rice worldwide, characterized by false smut balls formed in rice flowers. Here we identified a small secreted protein UV\_1261 contributing to virulence of *Ustilagoidea virens*, the causal agent of this disease. The sequence of UV\_1261 was highly conserved among isolates of *U. virens* and absent in other fungi. UV\_1261 encodes a protein targeted to plant chloroplasts. Its expression exhibited a bimodal pattern during pathogenesis. Ectopic expression of UV\_1261 in *Nicotiana benthamiana* and *Arabidopsis* led to suppression of flg22-induced ROS burst, callose deposition, and expression of defense-related genes, as well as enhanced susceptibility to powdery mildew in *Arabidopsis*. Down-regulation of UV\_1261 via exogenous siRNA treatment resulted in reduced number of false smut balls. Consistently, stably knocking-down UV\_1261 caused less number of false smut balls associated with higher expression of defense-related genes in rice flower. Taken together, our data demonstrate that UV\_1261 is a core effector of *U. virens* essential for virulence and suppressing defense in rice flower, and thus may serve as a potential molecular target for controlling rice false smut disease.

**Keywords:** Defense, Effector, Pathogenicity, Rice false smut, siRNA, *Ustilagoidea virens*

## Background

Flower is a nutrient-rich sink organ, attracting habitation of a large number of microorganisms. Flower-infecting fungi have caused diseases in many economically important crops. For example, *Claviceps purpurea* causes ergot disease in rye and *Gibberella zeae* causes Fusarium head blight in wheat (Ngugi and Scherm 2006). In recent years, rice false smut (RFS) disease, caused by the flower-infecting pathogen *Ustilagoidea virens*, has emerged as a serious grain disease worldwide (Fan et al. 2016). Occurrence of RFS disease not only leads to yield loss, but also contaminates grains and straws with mycotoxins that are poisonous to both human and animals (Koiso et al. 1994;

Nakamura et al. 1994). However, our understanding on the pathogenesis of this disease is very limited.

In recent years, several groups independently reported the infection process of *U. virens* in rice flower and a few genes have been identified to be involved in the process (Ashizawa et al. 2012; Tang et al. 2013; Hu et al. 2014; Fan et al. 2015; Song et al. 2016). Generally, the infection process can be divided into two stages. In stage I, conidia of *U. virens* germinate on the surface of rice spikelet, and generate hyphae or mycelia that grow epiphytically (Ashizawa et al. 2012; Fan et al. 2012). In stage II, mycelia extend into the inner space of spikelet via the gap between the lemma and the palea (Ashizawa et al. 2012), firstly attack stamen filament (Tang et al. 2013), then infect lodicule, stigma and style (Song et al. 2016; Yong et al. 2016), and ultimately embrace all the floral organs to form a ball-shape colony called false smut ball (Tang et al. 2013; Fan et al. 2015). Stage I lasts for 3–5 days, while stage II 7–10 days (Tang et al. 2013; Fan et al. 2015). In addition, a few genes have been found to be associated with infection of *U. virens*

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in rice. For example, *UvPRO1* encodes a C6 transcription factor, and disruption of the gene resulted in reduced growth rate, inability to sporulate, increased sensitivity to abiotic stresses, and abolishment of virulence (Lv et al. 2016). *UvSUN2* encodes a SUN domain-containing protein, and mutation in *UvSUN2* led to altered cell wall construction, abnormal fungal growth and stress responses, as well as inability to infect rice (Yu et al. 2015). On the contrary, knock-out or knock-down of *Uvt3277*, a gene encoding a putative low-affinity iron transporter, enhanced *U. virens* virulence (Zheng et al. 2017). Moreover, decoding the *U. virens* genome opens the door for investigation of effectors involved in *U. virens* pathogenesis (Zhang et al. 2014).

Effectors of a pathogenic microbe have versatile roles in manipulating host immunity to promote infection of the pathogen. They act either in interfering with phytohormone and secretory pathways, suppressing the pattern recognition receptor-mediated surveillance system, targeting plasma membrane components and chloroplasts, or generating a microenvironment favorable to infection (Deslandes and Rivas 2012; Dou and Zhou 2012; Kazan and Lyons 2014; Presti et al. 2015). For example, *Phytophthora sojae* effector PsAvr3c can reprogram soybean pre-mRNA splicing system to defeat host immunity and promote infection (Huang et al. 2017). *Pseudomonas syringae* pv. *tomato* injects effectors HopM1 and AvrE1 into Arabidopsis leaves to establish an aqueous space for successful infection (Xin et al. 2016). *P. sojae* secretes a paralogous decoy PsXLP1 to bind host GmGIP1, thus releasing the apoplastic effector PsXEG1 to promote infection (Ma et al. 2017). *U. virens* genome encodes at least 193 putative effector proteins (Zhang et al. 2014). Thirteen of them can induce cell death and 18 can suppress plant hypersensitive responses in *Nicotiana benthamiana* or rice protoplast (Zhang et al. 2014; Fang et al. 2016). However, up to date, none of them has been in-depth investigated during *U. virens* infection.

In an earlier de novo transcriptome analysis (Fan et al. 2015), we detected a full-length candidate effector gene *Uv2169* whose transcription was significantly up-regulated upon *U. virens* infection. *Uv2169* was coincidentally reported as *UV\_1261* that can suppress *Burkholderia glumae*-induced cell death in *N. benthamiana* (Zhang et al. 2014). Thereafter, we renamed *Uv2169* as *UV\_1261*. Here, we examined its expression pattern during infection and tested the effects of knock-down or overexpression of *UV\_1261*. The results demonstrated that *UV\_1261* specifically suppressed plant defense responses in rice spikelets to facilitate colonization of *U. virens*.

## Results

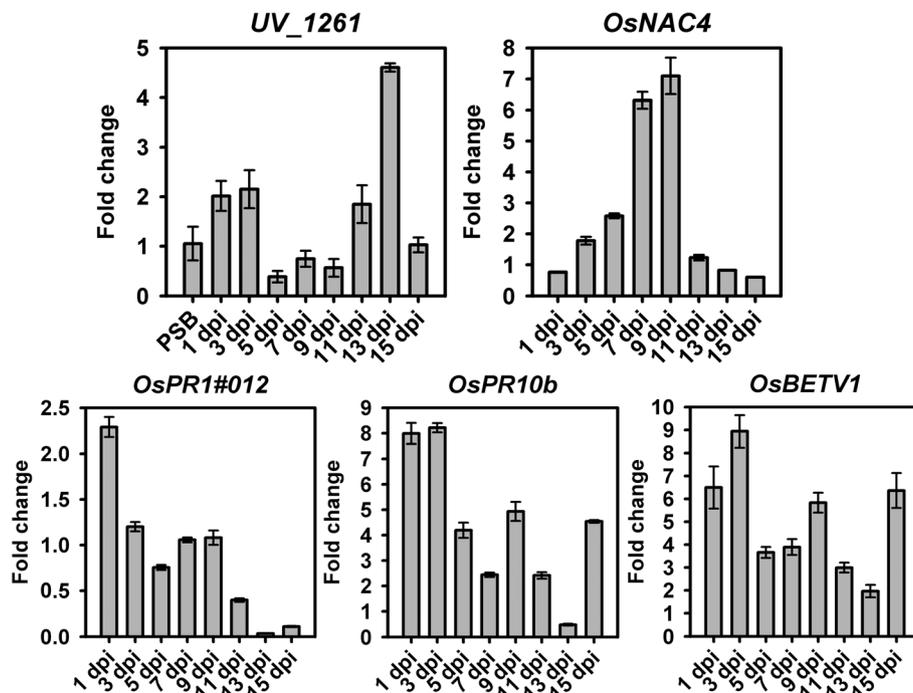
### The expression pattern of *UV\_1261* over *U. virens* infection of rice panicles

To understand how *UV\_1261* facilitates *U. virens* infection, we examined its expression pattern during *U. virens* infection by a time-course analysis. To this end, we exploited a compatible *U. virens*-rice interaction established in our previous studies with the isolate PJ52 and the rice accession Pujiang 6 (Fan et al. 2015; Huang et al. 2016). Sequence analysis showed that *UV\_1261* in PJ52 was identical to that in the published UV-8b genome (Additional file 1: Figure S1) (Zhang et al. 2014). Compared to its expression in PSB medium, *UV\_1261* was up-regulated at 1–3 days post inoculation (dpi), and then slightly decreased from 5 to 9 dpi. It was up-regulated again at 11 dpi, and reached to the highest expression at 13 dpi, forming a bimodal pattern (Fig. 1). This bimodal pattern is coincident with the two infection stages of *U. virens* (Fan et al. 2016), during which no obvious symptoms were detected from 1 to 7 dpi; whereas white fungal mass were seen in inner space of a spikelet at 9 dpi and the fungal mass increased to protrude out of the spikelet at 15 dpi (Fan et al. 2015). Therefore, the expression pattern of *UV\_1261* suggested its role in both infection stages.

To check whether *UV\_1261* expression was associated with rice defense response, we examined the expression patterns of some defense-related genes, including *OsNAC4*, *OsPRI#012*, *OsPRI0b*, and *OsBETV1* (Li et al. 2014b; Fan et al. 2015) over the infection of PJ52 by RT-qPCR. Intriguingly, *OsNAC4* showed an expression pattern reverse to that of *UV\_1261* (Fig. 1). Particularly, *OsNAC4* was in low expression at 1, 3, 11 and 13 dpi, when *UV\_1261* was highly expressed; whereas, *OsNAC4* was greatly induced from 5 to 9 dpi, when *UV\_1261* was at lower expression. *OsPRI#012* and *OsPRI0b* were induced at early time points, but down-regulated at later time points, especially at 13 dpi when *UV\_1261* reached the highest expression (Fig. 1). By contrast, *OsBETV1* was up-regulated across the infection process, although to a lesser extent at later time points (Fig. 1). These results imply that rice defense response is induced at earlier time points but then suppressed by infection of *U. virens*.

### *UV\_1261* encodes a small secreted cysteine-rich protein

*UV\_1261* contains a 393 bp codon, encoding a small cysteine-rich protein with 130 amino acid (aa) residues (Fig. 2a). The first 23 aa residues was a predicted signal peptide. First, we verified the function of the predicted signal peptide via an invertase secretion assay following previous studies (Klein et al. 1996; Jacobs et al. 1997; Oh et al. 2009; Cheng et al. 2017). To this end, we cloned the sequence encoding the first 23 aa residues of *UV\_1261* to the N-terminus of the mature yeast invertase gene *SUC2*



**Fig. 1** Expression patterns of *UV\_1261* and rice defense-related genes. Total RNAs were prepared from samples collected from 7-day-old PSB-cultured PJ52, and PJ52- or mock-inoculated rice spikelets at indicated time points. RT-qPCR was performed for expression profiling of *UV\_1261*, *OsNAC4*, *OsPR1#012*, *OsPR10b* and *OsBETV1*. *UvTub2a* or *OsUbi1* was used as the reference gene for *U. virens* or rice gene quantification, respectively. Fold change was calculated by the comparative  $C_T$  method  $2^{-\Delta\Delta C_T}$  using PSB-cultured PJ52 as the control for *UV\_1261*, and using mock-inoculated sample at each time point as the control for rice defense-related genes. Error bars indicate standard deviations ( $n = 3$ )

and transformed into YTK12, a *SUC2*-deficient yeast strain. Similarly, we made constructs with the sequences encoding the first 25 aa residues of *Magnaporthe oryzae* Mg87 and the validated signal peptide of *P. sojae* effector Avr1b as negative and positive control, respectively (Gu et al. 2011; Cheng et al. 2017). Then, the constructs were transformed into YTK12 for invertase secretion assay. As expected, all the three constructs could enable growth of YTK12 on CMD-W medium where yeast can grow without invertase secretion. However, only the constructs expressing *SUC2* fused with the signal peptide of *UV\_1261* and Avr1b could enable YTK12 growth on YPRAA medium where yeast growth requires signal peptide-mediated secretion of the invertase (Fig. 2b). The negative control peptide of Mg87 could not enable YTK12 growth on YPRAA medium. These data indicate that the predicted signal peptide of *UV\_1261* is functional in mediating secretion.

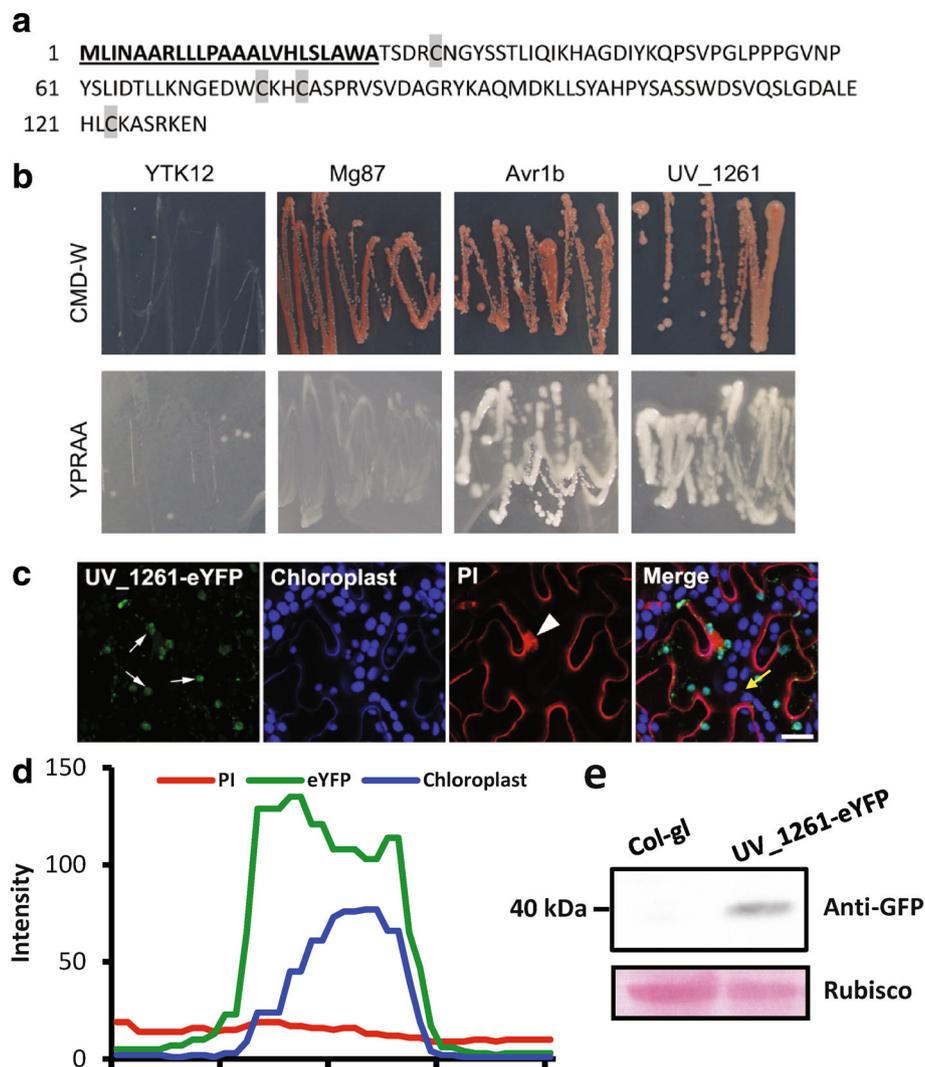
#### **UV\_1261 is mainly localized in the cytoplasm and the chloroplast**

To determine the subcellular localization of *UV\_1261* *in planta*, we cloned the sequence encoding mature *UV\_1261* (without signal peptide) at the N-terminus of eYFP for transient expression in *N. benthamiana* and stable expression in transgenic Arabidopsis Col-gl.

Transient expression showed that *UV\_1261*-eYFP was localized in the cytoplasm, the nucleus and the chloroplasts of *N. benthamiana* cells (Additional file 2: Figure S2a-c). In transgenic Arabidopsis plants, *UV\_1261*-eYFP was mainly aggregated as dots, in addition to scattered distribution in the cytoplasm (Fig. 2c). Intriguingly, the fluorescent signal of *UV\_1261*-eYFP was overlapped with the auto-fluorescent signal of the chloroplasts, indicating localization of *UV\_1261* in the chloroplasts (Fig. 2d). Moreover, *UV\_1261*-eYFP fusion protein was intact as confirmed by Western blot (Fig. 2e). Taken together, these data indicate that *UV\_1261*-eYFP is localized in the cytoplasm and the chloroplasts.

#### **UV\_1261 suppresses basal defense responses in *N. benthamiana* and Arabidopsis**

PAMPs like flg22 can trigger basal defense responses, such as rapid burst of ROS, callose deposition and expression of defense-related genes. Thus, we tested whether *UV\_1261* played a role in suppression of flg22-triggered defense responses. When *UV\_1261* was transiently expressed in *N. benthamiana*, flg22-triggered ROS burst was obviously inhibited (Additional file 2: Figure S2d). When *UV\_1261* was stably expressed in Arabidopsis, flg22-induced ROS accumulation was also inhibited (Fig. 3a). Meanwhile, flg22-induced callose deposition was



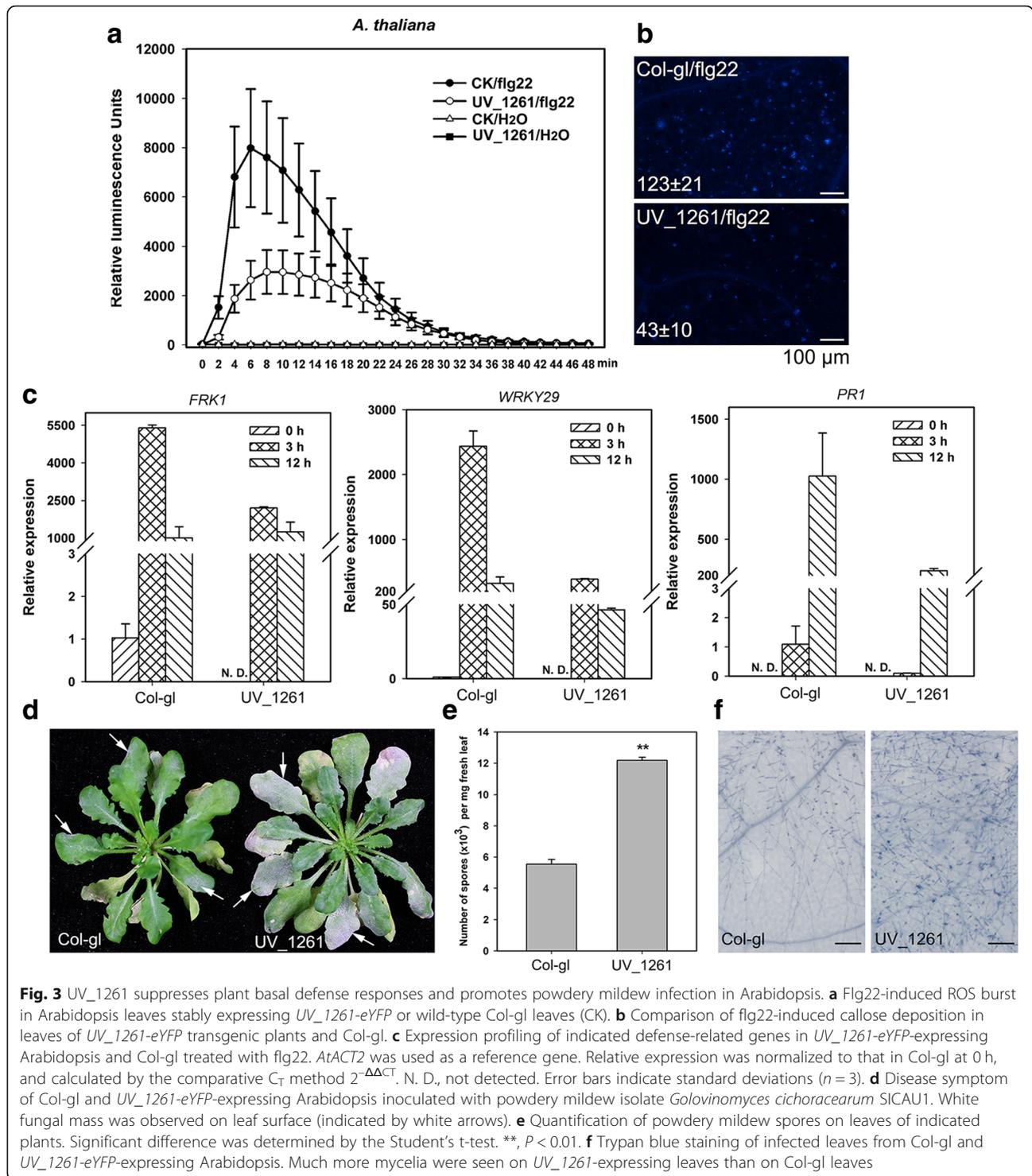
**Fig. 2** Signal peptide validation and subcellular localization of UV\_1261. **a** Protein sequence of UV\_1261. Bold and underlined letters represent putative signal peptide. Shaded letters indicate cysteine residues. **b** Validation of UV\_1261 signal peptide by yeast invertase secretion assay. Predicted signal peptide sequence of UV\_1261 was fused in-frame to yeast mature invertase sequence in pSUC2 vector and expressed in YTK12. Functional signal peptide could enable yeast growth on both CMD-W and YPRAA. N-terminal sequence of Mg87 and signal peptide of Avr1b were used as the negative and positive control, respectively. **c** The construct *UV\_1261-eYFP* was transformed into Arabidopsis Col-gl. After obtaining T<sub>2</sub> transgenic lines, leaves expressing *UV\_1261-eYFP* were checked under a confocal laser scanning microscope. Nuclei were stained by PI and false-colored in red. Chloroplasts showed autofluorescence and was false-colored in blue. White arrows point to chloroplasts, and white triangles point to nuclei. Scale bar, 20 μm. **d** Fluorescence intensity curves were drawn according to the direction of the yellow arrow in (c). **e** Western blot with GFP antibody in Arabidopsis leaves expressing *UV\_1261-eYFP*. The band at 40 kDa indicates the *UV\_1261-eYFP* fusion protein

remarkably reduced in *UV\_1261-eYFP* transgenic line (Fig. 3b), being about one-third of that in the wild-type Col-gl. Next, RT-qPCR was performed to examine the expression of defense-related genes in Arabidopsis (Li et al. 2018), including *FLG22-INDUCED RECEPTORLIKE KINASE 1 (FRK1, At2g19190)*, *WRKY29 (At2g23550)* and the *PATHOGENESIS-RELATED1 (PRI, At2g19990)*. The expression of *FRK1* and *WRKY29* was highly induced as early as 3 hours post application (hpa) of flg22 in Col-gl, while *PRI* was induced and reached to the highest expression at

12 hpa (Fig. 3c). By contrast, the induction of all the three tested genes were much lower in *UV\_1261-eYFP* transgenic line than in Col-gl (Fig. 3c). Taken together, *UV\_1261* could suppress basal defense responses in *N. benthamiana* and Arabidopsis.

***UV\_1261* increases infection of tobacco powdery mildew in Arabidopsis**

Modulation of basal defense responses by *UV\_1261* prompted us to test whether it can compromise disease



**Fig. 3** UV\_1261 suppresses plant basal defense responses and promotes powdery mildew infection in Arabidopsis. **a** Flg22-induced ROS burst in Arabidopsis leaves stably expressing *UV\_1261-eYFP* or wild-type *Col-gl* leaves (CK). **b** Comparison of flg22-induced callose deposition in leaves of *UV\_1261-eYFP* transgenic plants and *Col-gl*. **c** Expression profiling of indicated defense-related genes in *UV\_1261-eYFP*-expressing Arabidopsis and *Col-gl* treated with flg22. *AtACT2* was used as a reference gene. Relative expression was normalized to that in *Col-gl* at 0 h, and calculated by the comparative  $C_T$  method  $2^{-\Delta\Delta C_T}$ . N. D., not detected. Error bars indicate standard deviations ( $n = 3$ ). **d** Disease symptom of *Col-gl* and *UV\_1261-eYFP*-expressing Arabidopsis inoculated with powdery mildew isolate *Golovinomyces cichoracearum* SICAU1. White fungal mass was observed on leaf surface (indicated by white arrows). **e** Quantification of powdery mildew spores on leaves of indicated plants. Significant difference was determined by the Student's t-test. \*\*,  $P < 0.01$ . **f** Trypan blue staining of infected leaves from *Col-gl* and *UV\_1261-eYFP*-expressing Arabidopsis. Much more mycelia were seen on *UV\_1261*-expressing leaves than on *Col-gl* leaves

resistance against biotrophic pathogen. To this end, we inoculated the tobacco powdery mildew strain *Golovinomyces cichoracearum* SICAU1 onto six-week-old plants of *UV\_1261-eYFP* transgenic line and *Col-gl*. The results showed that *UV\_1261-eYFP* leaves sustained more white

fungal mass and spores than *Col-gl* leaves at 12 dpi (Fig. 3d, e). Trypan blue staining displayed that there were more hyphae in *UV\_1261-eYFP* than in *Col-gl* leaves (Fig. 3f). These data indicate that *UV\_1261* promotes the powdery mildew infection in Arabidopsis.

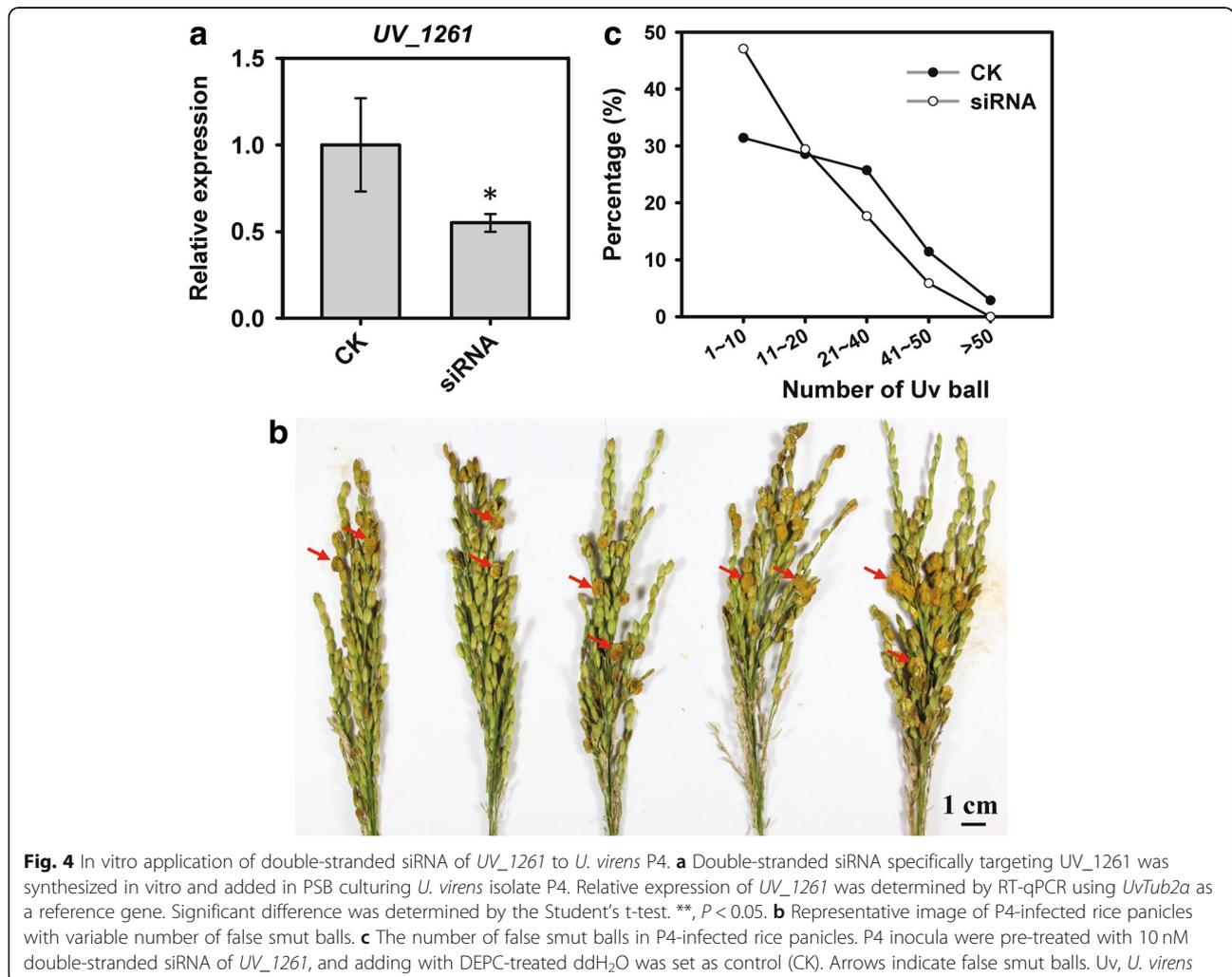
**Exogenous double-stranded siRNA of *UV\_1261* reduces *U. virens* virulence**

Since exogenous application of double-stranded RNAs can trigger gene silencing (Fire et al. 1998), we employed this approach to preliminarily test whether *UV\_1261* was involved in *U. virens* virulence. To this end, we synthesized a 21 bp double-stranded siRNA specifically targeting *UV\_1261* and prepared inocula using the strain P4 cultured on media containing 10 nM/mL of the synthesized siRNA. As expected, in the inocula from the siRNA-containing media, the expression of *UV\_1261* was reduced to as low as 50% of that in control (Fig. 4a), indicating that the siRNA is functional in silencing of *UV\_1261*. Then the inocula were injected into more than 30 rice panicles at late booting stage in comparison with control inocula. After 28 dpi, the number of false smut balls was counted (Fig. 4b). Typically, the number of false smut balls per inoculated panicle varied from less than 10 to more than 50

(Fig. 4b). This is a common phenomenon to rice false smut disease that makes disease assay difficult. To evaluate the effects of different inocula, we classified the diseased panicles into five types according to the number of RFS balls per panicle, i.e. 1–10, 11–20, 21–40, 41–50 and > 50. The data demonstrated that nearly half (47%) of the diseased panicles showed mild infection with the number less than 10 in siRNA-treated group, but less than one third (31%) in control group (Fig. 4c). On the contrary, the percentage of severely diseased panicles (> 20 balls per panicle) was much lower in siRNA-treated group than in control (Fig. 4c). These data indicate that suppression of *UV\_1261* in vitro could reduce *U. virens* virulence.

**Silencing of *UV\_1261* attenuates *U. virens* virulence**

To further confirm the role of *UV\_1261* in *U. virens* virulence, we tried to knockout *UV\_1261* by using gene replacement strategy, but failed to obtain any knockout mutants probably due to low homologous recombination

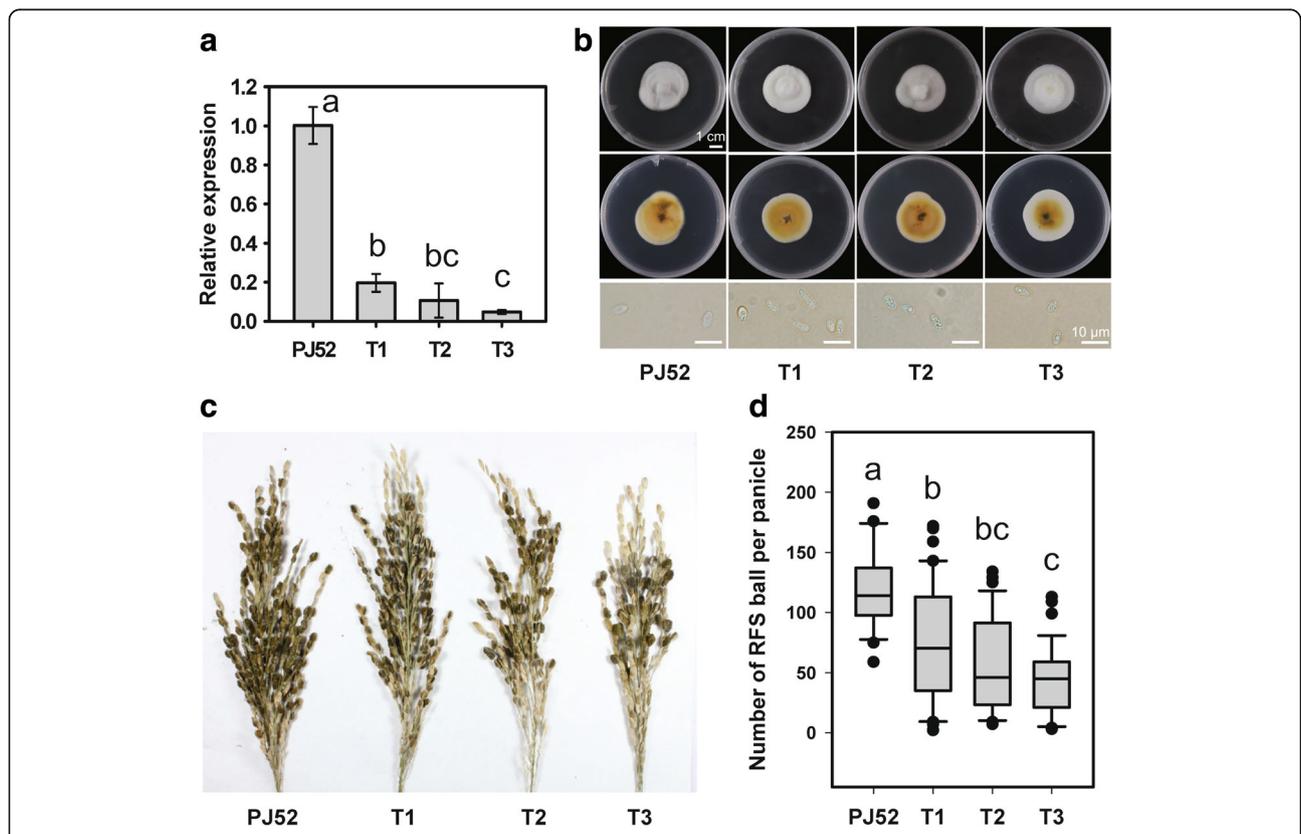


frequency in *U. virens* (Zheng et al. 2016). We then tried to silence *UV\_1261* in *U. virens* isolate PJ52. Fortunately, we obtained positive transformants with reduction of *UV\_1261* expression. Subsequently, three independent transformants (T1, T2 and T3) with significantly lower expression of *UV\_1261* were selected for further analysis (Fig. 5a). All the three transformants formed colonies with diameters similar to that of the wild-type PJ52 after cultured for 2 weeks on PSA, and produced conidia with unaltered morphology (Fig. 5b). To test their virulence, the transformants were inoculated into rice panicles of cultivar Pujiang 6 that is highly susceptible to *U. virens* (Huang et al. 2016). Then, the number of RFS balls in each diseased panicle was recorded at 4 weeks post inoculation (wpi). The results showed that PJ52 and the three transformants all successfully infected rice panicles, with the rate of diseased panicle reaching 100%. PJ52 formed more than 110 RFS balls in average in the inoculated panicles

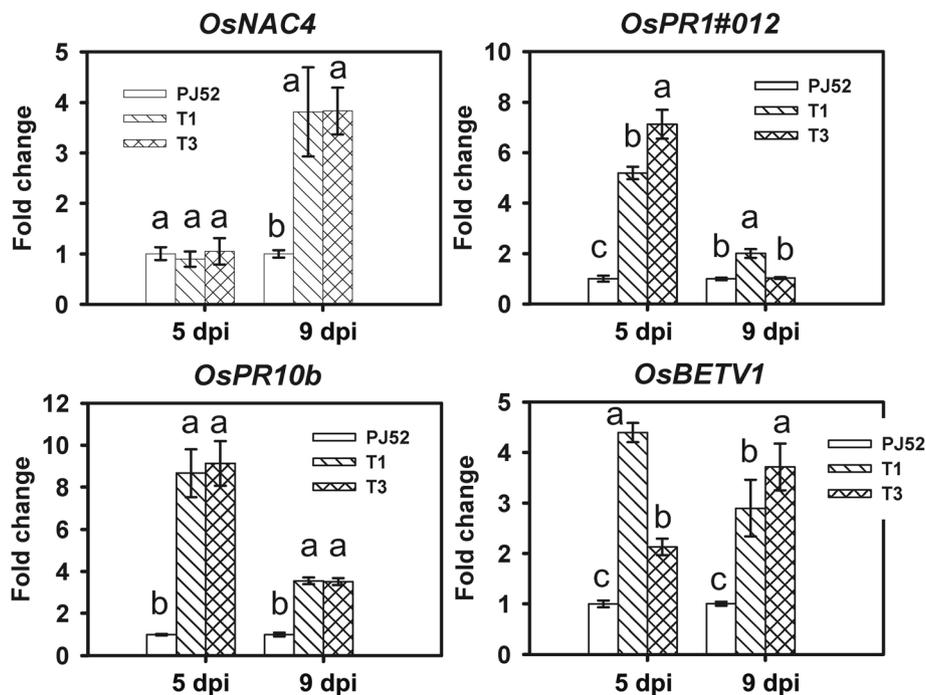
(Fig. 5c). However, the three transformants formed much less number of RFS balls than PJ52 (Fig. 5c, d). In addition, we observed that the expression of *UV\_1261* positively correlated with the virulence of *U. virens* (Fig. 5). Therefore, these data confirmed that *UV\_1261* is a virulence effector of *U. virens*.

**Silencing of *UV\_1261* leads to higher expression of rice defense-related genes in *U. virens*-inoculated spikelets**

To test whether knockdown of *UV\_1261* influenced the induction of rice defense, we inoculated *UV\_1261*-silenced transformants (T1 and T3) into rice panicles at booting stage with PJ52 as control, and examined the expressions of four rice defense-related genes at 5 and 9 dpi. Our data showed that the expression of *OsNAC4* remained unchanged at 5 dpi, but was significantly higher in T1 and T3-inoculated spikelets than in PJ52-inoculated control at 9 dpi (Fig. 6). The expression of



**Fig. 5** Phenotype and virulence of *UV\_1261*-silenced *U. virens* transformants. **a** Expression level of *UV\_1261* in wild-type PJ52 and three transformants. Gene silencing was confirmed by RT-qPCR using *UvTub2a* as a reference gene. PJ52 was set as the control. **b** Representative images of indicated *U. virens* colonies cultured in PSA for 2 weeks, and conidia cultured in PSB for 7 days. Upper panel, top view of colonies from wild-type PJ52 and three transformants T1, T2, T3. Scale bar, 1 cm. Middle panel, bottom view of colonies from wild-type PJ52 and three transformants T1, T2, T3. Lower panel, morphology of conidia from wild-type PJ52 and three transformants T1, T2, T3. Scale bar, 10 μm. **c** Disease assay of wild-type PJ52 and three transformants T1, T2, T3. Each *U. virens* inocula were artificially injected into 30–50 panicles of Pujiang 6 at late booting stage. Representative images of diseased rice panicles infected with PJ52, T1, T2, or T3 were shown. **d** Around 4 wpi, the number of rice false smut ball per panicle was recorded. Statistical analysis was performed with LSD method in SPSS Version 21. Different letters above the data box indicate significant differences at  $P < 0.05$



**Fig. 6** Expression analysis of rice defense-related genes in response to infection of PJ52 and *UV\_1261*-silenced transformants. Rice spikelet samples inoculated with PJ52, T1, or T3 were collected at 5 and 9 dpi. Expression levels of *OsNAC4*, *OsPR1#012*, *OsPR10b*, and *OsBETV1* were determined by RT-qPCR using rice *OsUbi* as the reference gene. Fold change was calculated by the comparative  $C_T$  method  $2^{-\Delta\Delta C_T}$  using PJ52-inoculated samples as controls at indicated time points. Statistical analysis was performed with LSD method in SPSS Version 21. Different letters above the bars mean significant differences among datasets at each indicated time point ( $P < 0.05$ )

*OsPR10b* at 5 dpi was increased to about 9-fold in T1 or T3-inoculated spikelets compared with that in control, and nearly 4-fold at 9 dpi (Fig. 6). Similar trend was shown for *OsPR1#012*. The expression of *OsBETV1* was increased to 2–4 fold in T1 or T3-inoculated spikelets at both 5 and 9 dpi. Overall, compared to PJ52-inoculated spikelets, T1 and T3-inoculated samples exhibited significantly higher expression of all four tested genes at either 5 and/or 9 dpi, indicating that *UV\_1261*-silenced strains cannot efficiently suppress the expression of rice defense-related genes. Therefore, these data implied that *UV\_1261* promotes *U. virens* infection via suppression of host defense.

#### *UV\_1261* has no intra-species diversity

BLAST analysis showed that *UV\_1261* had no homologs in *U. virens* genome and no orthologs in any other published fungal genomes, indicating that *UV\_1261* is a single gene specific in *U. virens*. Then, evolutionary analysis was performed on 50 *U. virens* isolates collected from different rice production areas in China (Additional file 3: Table S1). The results showed that these *U. virens* isolates were classified into five subgroups, and isolates from different locations could be grouped together (Additional file 4: Figure S3a). These

isolates were used to amplify the full-length of *UV\_1261*. Sequence analysis revealed that *UV\_1261* sequences from all the tested isolates were identical (Additional file 4: Figure S3b), suggesting that *UV\_1261* is extremely conserved among *U. virens* isolates.

#### Discussion

There are 193 putative effectors in *U. virens* genome (Zhang et al. 2014), none of them was reported to be involved in *U. virens* pathogenicity. In this study, we demonstrated that *UV\_1261* is a *U. virens*-specific effector involved in suppressing plant defense responses and required for full virulence of *U. virens*.

Fungal effectors are featured by low sequence similarity and lack of conserved motif within and across species (Sperschneider et al. 2015), although some exceptions exist, such as the LysM domain-containing effectors Ecp6 from *Cladosporium fulvum* and Slp1 from *M. oryzae* (de Jonge et al. 2010; Mentlak et al. 2012). In addition, fungal effectors are also defined to be secreted from the pathogen and their expression should be induced *in planta* during pathogenesis (Guyon et al. 2014; Sperschneider et al. 2014). In consistent with these criteria for defining an effector, *UV\_1261* is a *U. virens*-specific effector. First, no homologous sequence of *UV\_1261* was found in *U. virens*

or other fungal genomes. Second, Interpro search revealed no conserved domain in UV\_1261 protein. Third, UV\_1261 possessed a functional signal peptide at its N-terminus (Fig. 2b), and expression of UV\_1261 was significantly induced in *U. virens* upon infection of rice (Fig. 1).

Knock-out or knock-down a single effector usually does not affect virulence, due to functional redundancy of the effector pool in a pathogen genome (Birch et al. 2008). For instance, silencing of *PSTha5a23* in *Puccinia striiformis* f. sp. *tritici* did not change its virulence in wheat (Cheng et al. 2017). Knock-out *AvrPi9* or other 77 putative effector genes in *M. oryzae* also did not affect its virulence in rice (Saitoh et al. 2012; Wu et al. 2015). However, deletion of core effectors may compromise the virulence of pathogens. For example, disruption of the core effector *pep1* in *Ustilago maydis* caused inability of the pathogen to infect maize leaf, although the  $\Delta pep1$  mutants had normal saprophytic growth (Doehlemann et al. 2009). In this study, silencing of UV\_1261 in vitro and in vivo consistently showed reduction of *U. virens* virulence, indicating that UV\_1261 is a core effector (Fig. 4 and Fig. 5).

In addition to photosynthesis and primary metabolism, chloroplast is involved in production of pro-defense molecules, such as SA, JA, ABA, ROS and calcium (Serrano et al. 2016). Pathogens have evolved effectors to interfere with chloroplast function, so as to fight against host immunity. For instance, *P. syringae* pv. *tomato* secretes a effector protein HopN1 to interact with PsbQ in thylakoids, resulting in reduction of chloroplastic ROS (Rodriguez-Herva et al. 2012). *Pst* effectors HopK1 and AvrRps4 also target to host chloroplasts to suppress ROS production, although their targeted proteins are unknown (Li et al. 2014a). Effector proteins Cmu1 from *U. maydis* (Djamei et al. 2011), PsIscl from *P. sojae* and VdIscl from *Verticillium dahliae* (Liu et al. 2014) modulate SA biosynthesis in plastid and suppress plant immune responses. In the present work, UV\_1261 was targeted to chloroplasts (Fig. 2c-e), suggesting its role in interfering with plant immunity, which was further supported by that UV\_1261 could suppress basal defenses in *N. benthamiana*, Arabidopsis and rice (Fig. 3, Fig. 6 and Additional file 2: Figure S2d).

Pathogen effectors are subjected to rapid evolution, so as to evade the recognition by host resistance proteins. For example, insertion of transposable element and somatic exchange in wheat stem rust effector protein AvrSr35 and AvrSr50, respectively, drove the pathogen escaping recognition by R proteins Sr35 and Sr50 (Chen et al. 2017; Salcedo et al. 2017). Mg-SINE insertion in *M. oryzae* *AvrPi9* converted an avirulent isolate to a virulent isolate (Wu et al. 2015). However, in our work, no polymorphism was detected in UV\_1261 from around 50 *U. virens* isolates collected across multiple rice production areas in

China (Additional file 4: Figure S3), implying that UV\_1261 is of extremely low intraspecific variation.

## Conclusions

Overall, UV\_1261 is a novel core effector protein that plays an important role in *U. virens* virulence by suppressing plant defense responses. As UV\_1261 is unique and highly conserved in *U. virens*, it could be a potential molecular target for developing efficient strategies to control RFS disease.

## Methods

### Plant materials and pathogen isolates

Plants of rice cultivar Pujiang 6 were grown in an experimental field under natural conditions. *N. benthamiana* and Arabidopsis accession Col-gl were planted in a growth room at 10 h light/14 h darkness, 23 °C and 70% relative humidity until subsequent experiments. *U. virens* isolates were obtained via amerosporous purification from RFS balls. *U. virens* isolate PJ52 was used for gene expression analysis and *U. virens* transformation experiments. The GFP-tagged *U. virens* strain P4 was used for siRNA treating experiment. The powdery mildew *Golovinomyces cichoracearum* SICAU1 (Zhang et al. 2015) was maintained on leaves of tobacco in a growth room at 16 h light/8 h darkness, 23 °C and 75% relative humidity.

### *U. virens* inoculation

Artificial inoculation of *U. virens* was performed as described previously (Fan et al. 2015). In brief, *U. virens* mycelia were cultured in potato-sucrose broth (PSB) at 28 °C and 120 r/min for 5–7 days, and the mixture of mycelia and conidia were blended as inocula, with conidia concentration adjusted to  $1 \times 10^6$  conidia/mL. At late booting stage of rice (5–7 days before heading), *U. virens* inocula were injected into rice panicles by a syringe with needle. Mock-inoculation was carried out with PSB. Rice spikelets were collected at 1, 3, 5, 7, 9, 11, 13 and 15 dpi for subsequent experiments. The number of false smut balls was recorded at about 4 wpi.

### Quantitative RT-PCR

Total RNA was extracted using TRIzol reagent (Invitrogen) and was reverse transcribed using SuperScriptfirst-strand synthesis kit (Invitrogen). Quantitative RT-PCR (RT-qPCR) was performed using SYBR Green mix (TaKaRa) and gene specific primers (Additional file 5: Table S2). The reference gene *UvTub2a* was used for expression analysis of UV\_1261, *OsUbi* for rice genes, and *AtACT2* for Arabidopsis genes. Comparative  $C_T$  method  $2^{-\Delta\Delta CT}$  (Livak and Schmittgen 2001) was applied for calculating relative expression.

### Plasmid construction

For validation of UV<sub>1261</sub> signal peptide, the corresponding DNA fragment was amplified with primer pair SP1261\_EcoRIF/SP1261\_XhoIR, and inserted into pSUC2T7M13ORI (pSUC2) vector at restriction enzyme sites *EcoRI* and *XhoI*. For ectopic expression of UV<sub>1261</sub> in *N. benthamiana* and Arabidopsis, primer pair Uvm1261\_KpnIF/Uv1261\_KpnIR was used to amplify the full-length of UV<sub>1261</sub> minus signal peptide sequence, and inserted into pCAMBIA1300-eYFP vector with *KpnI*. For *U. virens* transformation, 1261\_EcoRIF/1261\_SpeIR were used to amplify the antisense strand of UV<sub>1261</sub>, and inserted into Pzp-bar-Ex vector (Additional file 6: Figure S4) to silence UV<sub>1261</sub>.

### Yeast invertase secretion assay

pSUC2-derived plasmids were transformed into yeast strain YTK12 with the lithium acetate method (Gietz et al. 1995). The subsequent procedures of yeast secretion assay was performed as described (Fang et al. 2016).

### Agrobacterium tumefaciens-mediated transformation

*A. tumefaciens* strain GV3101 containing UV<sub>1261</sub>-eYFP construct was transiently expressed in *N. benthamiana* as described (Huang et al. 2014) and stably expressed in Arabidopsis Col-gl with floral dipping method (Clough and Bent 1998). *A. tumefaciens* strain AGL1 containing UV<sub>1261</sub>-related constructs were transformed into conidia of PJ52 according to the published protocol with modifications (Yu et al. 2015). In brief, PJ52 conidia were produced in PSB medium at 28 °C, 120 r/min for 7 days. AGL1 containing indicated constructs was cultured in minimal medium at 28 °C, 200 r/min for 48 h, supplemented with 50 µg/mL kanamycin. Then diluted AGL1 (OD<sub>600</sub> = 0.15) was pre-cultured in induction medium, supplemented with 50 µg/mL kanamycin and 50 µM acetosyringone, for about 6 h until OD<sub>600</sub> reaching 0.25. One hundred microliter of AGL1 cells were mixed with equal volume of 10<sup>6</sup> conidia/mL PJ52, and incubated on nitrocellulose membrane (Whatman, pore size = 0.45 µm) in co-cultivation medium at 25–28 °C for 72 h. The membrane was then transferred to the selection medium, i.e. potato-sucrose-agar (PSA) medium with 0.003% Basta (Yobios BioTect) and 500 µg/mL Timentin (Solarbio), and incubated at 25–28 °C until transformants appeared. The colonies were again transferred to selection medium to screen for positive transformants, which were further confirmed by PCR with primer pairs UvmitoF/UvmitoR, BastaF/BastaR, and 1261\_EcoRIF/TtrpC\_R. UV<sub>1261</sub> expression were examined by RT-qPCR with the primer pair UV<sub>1261</sub>\_F2 /UV<sub>1261</sub>\_R2. Primer sequences are included in Additional file 5: Table S2.

### Subcellular localization

The construct UV<sub>1261</sub>-eYFP or empty vector expressing only eYFP was co-expressed with 2 × RFP-NLS (Huang et al. 2014) in *N. benthamiana*. Leaves were sampled at 3 days post infiltration for microscopy observation. Fully expanded leaves from T<sub>2</sub> generation of transgenic Arabidopsis (5–6 week old) expressing UV<sub>1261</sub>-eYFP were sampled and stained in Propidium Iodide (PI) for 10 min before observation. Leaf samples were checked under a confocal laser scanning microscope (Nikon A1). The image data were processed with NIS-Elements viewer and Adobe Photoshop. Western blot was performed with anti-GFP sera (BBI Life Science).

### ROS, callose assays and trypan blue staining

Leaves of Col-gl and UV<sub>1261</sub>-eYFP-expressing plants were prepared and treated with either ddH<sub>2</sub>O or 1 µM flg22, and subjected to ROS and callose assays as previously described (Li et al. 2018). Spores of powdery mildew SICAU1 were inoculated onto leaves of Col-gl and transgenic line expressing UV<sub>1261</sub>-eYFP. Spore production was determined and pathogen hyphae were stained with trypan blue according to the reported method (Zhao et al. 2015). Images were acquired under a Canon EOS Rebel T2i.

### siRNA treatment

Double-stranded siRNA specifically targeting UV<sub>1261</sub> was designed and synthesized by Shanghai GenePharma Co., Ltd. The sequences are listed in Additional file 5: Table S2. SiRNA was dissolved in DEPC-treated ddH<sub>2</sub>O and added in PSB to a final concentration of 10 nM. *U. virens* inocula were prepared from 7-day-old PSB-cultured P4, and 10 nM siRNA was added again in inocula before artificial inoculation. The control group was added with DEPC-treated ddH<sub>2</sub>O.

### DNA polymorphism analysis

Primer pair Uv1261\_SNPf/Uv1261\_SNPr was used for amplifying the full-length DNA of UV<sub>1261</sub>. To identify the evolutionary relationships among the examined *U. virens* isolates, primer pair UvSNP1\_F/UvSNP1\_R was used for amplifying a SNP-rich region in *U. virens* genome (Sun et al. 2013), and the obtained sequences were subjected to evolutionary analysis in MEGA5 using the Neighbor-Joining method with default parameters (Tamura et al. 2011). Primers are presented in Additional file 5: Table S2.

### Sequence analysis and data processing

BLAST analysis was conducted at NCBI online (<http://blast.ncbi.nlm.nih.gov/>). Signal peptide prediction of UV<sub>1261</sub> was performed on SignalP 4.0 Server (<http://www.cbs.dtu.dk/services/SignalP-4.0/>) (Petersen et al. 2011). Sequence alignment was carried out with MultAlin (<http://multalin.toulouse.inra.fr/multalin/multalin.html>) (Corpet

1988). Conserved domain analysis was performed with Interpro search (<http://www.ebi.ac.uk/interpro>). Excel and SigmaPlot Version 10.0 were used for data processing. SPSS Version 21 was applied for statistical analysis.

## Additional files

**Additional file 1 : Figure S1.** Sequence alignment between *PJ52\_UV1261* and *UV8b\_UV1261*. (TIF 3479 kb)

**Additional file 2 : Figure S2. a** Mature protein sequence of *UV\_1261* (without signal peptide) was fused to eYFP and transiently expressed in leaves of *N. benthamiana*. Empty vector (EV) expressing only eYFP was set as a control. The construct  $2 \times RFP-NLS$  was co-expressed as a nucleus indicator. Scale bar, 10  $\mu$ m. **b** Fluorescence intensity curves were drawn according to the direction of the red arrow in **a**. **c** Western blot with GFP antibody in *N. benthamiana* leaves expressing eYFP or *UV\_1261-eYFP*. The band at 40 kDa indicates the *UV\_1261-eYFP* fusion protein, while the band at 27 kDa presents free eYFP. **d** Flg22-induced ROS burst in *N. benthamiana* leaves transiently expressing *UV\_1261-eYFP* or empty vector (CK). (TIF 1569 kb)

**Additional file 3 : Table S1.** *U. virens* isolates used for DNA polymorphism analysis. (XLSX 10 kb)

**Additional file 4 : Figure S3. a** Evolutionary relationships of 48 *U. virens* isolates from different rice production areas. Evolutionary analyses were conducted in MEGA5 using the Neighbor-Joining method with default parameters. The evolutionary distances were calculated using the Maximum Composite Likelihood method. Bootstrap test with 1000 replicates was performed. **b** Sequence alignment of *UV\_1261* among 49 *U. virens* isolates revealed that all the examined *UV\_1261* sequences were identical. *Uv8b* is the isolate that has a reference genome (Zhang et al. 2014). Information of other 48 isolates is presented in Additional file 3: Table S1. (TIF 9076 kb)

**Additional file 5 : Table S2.** Primers used in this study. (XLSX 10 kb)

**Additional file 6 : Figure S4.** Schematic diagram of vector Pzp-bar-Ex used for *U. virens* transformation. (TIF 123 kb)

## Abbreviations

eYFP: Enhanced yellow fluorescent protein; PAMP: Pathogen-associated molecular pattern; PI: Propidium iodide; PSA: Potato sucrose agar; PSB: Potato sucrose broth; RFS: Rice false smut; ROS: Reactive oxygen species; RT-qPCR: Quantitative reverse transcription-polymerase chain reaction

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## Availability of data and materials

All data generated or analysed during this study are included in this published article and its supplementary information files.

## Authors' contributions

W-MW and JF designed the research and wrote the manuscript. JF, ND, LL, G-BL, Y-QW, Y-FZ, X-HH, JL performed the research. JF, ND, LL, J-QZ, YL, FH and W-MW analyzed and interpreted the data. All authors read and approved the final manuscript.

## Ethics approval and consent to participate

Not applicable.

## Consent for publication

Not applicable.

## Competing interests

The authors declare that they have no competing interests.

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